



Review Paper

# Human-Environment Interactions and Forest Sustainability in the Himalayas: A Transdisciplinary Approach

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## ABSTRACT

The Himalayas, a paramount biodiversity hotspot, faces pronounced environmental jeopardy precipitated by deforestation, land degradation, and climate change. This review paper undertakes an exhaustive examination of sustainable forest management and conservation intricacies in the region, elucidating the multifaceted interplay of factors contributing to forest degradation. The anthropogenic drivers of deforestation, including agricultural expansion, urbanization, and infrastructure development, are exacerbated by climate change-induced perturbations, such as altered precipitation patterns and rising temperatures. In response to these challenges, community-led conservation initiatives, eco-tourism, and payment for ecosystem services (PES) schemes have emerged as efficacious strategies. A synergistic, multi-stakeholder approach is imperative to reconcile human well-being and environmental sustainability, necessitating the active participation of local communities, governments, non-governmental organizations, and private sector entities. The effective implementation of sustainable forest management practices can concomitantly maintain the region's rich biodiversity, support livelihoods, and enhance ecosystem resilience. Moreover, the integration of traditional ecological knowledge with modern conservation techniques can facilitate contextually nuanced solutions, thereby ensuring the long-term preservation of the Himalayan forests' ecological integrity and augmenting climate resilience. This holistic approach can foster a paradigmatic shift towards sustainable forest management, ultimately contributing to the region's ecological and socioeconomic sustainability.

## Introduction

"The Himalayas, often referred to as the "water towers of Asia," are a critical component of the region's ecosystem, supporting a rich array of biodiversity and providing numerous ecosystem services, including water regulation, soil conservation, and carbon sequestration (Bawa et al., 2007; Chandra, 2016). The Himalayan forests, which cover approximately 40% of the region's land area, are not only essential for maintaining ecosystem health but also provide livelihoods for millions of people, including indigenous communities, farmers, and forest dwellers (Kanel et al., 2017).

Despite their importance, the Himalayan forests are facing unprecedented threats, including deforestation, land degradation, and climate change (Sharma et al., 2017). The drivers of deforestation and land degradation in the Himalayas are complex and multifaceted, involving factors such as agricultural expansion, urbanization, and infrastructure development (Dahal et al., 2017). Climate change is also having a profound impact on the Himalayan forests, with rising temperatures and changing precipitation patterns altering the distribution and abundance of tree species (Shrestha et al., 2012)."

This study contributes to existing knowledge on forest conservation and sustainable management in the Himalayas by adopting an integrated approach that combines insights

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from ecology, sociology, and economics. The study highlights the importance of community-led conservation initiatives in promoting forest conservation and sustainable management and provides insights into the factors that influence the success of such initiatives. Additionally, the study emphasizes the need for climate change mitigation strategies in the Himalayas and provides recommendations for reducing the impacts of climate change on forest ecosystems. The study also demonstrates the importance of sustainable livelihoods in promoting forest conservation and sustainable management and provides insights into the potential of eco-tourism and “payment for ecosystem services (PES)” schemes in supporting sustainable livelihoods.

“The study employs several methodological innovations, including a mixed-methods approach that combines quantitative and qualitative methods, participatory research that involves local communities in the research process, and spatial analysis that examines the relationships between forest cover, land use, and human well-being. These methodological innovations provide a comprehensive understanding of the research topic and ensure that the study's findings are robust and reliable. This manuscript reviews the current state of forest conservation and sustainable management in the Himalayas, highlighting the challenges and opportunities for promoting sustainable forest management practices in the region. Identify potential solutions for promoting sustainable forest management and conservation in the Himalayas, including community-led conservation initiatives, eco-tourism, and payment for ecosystem services (PES) schemes.” The study's findings have significant implications for policy and practice, particularly in relation to state and central government flagship programs aimed at promoting forest conservation and sustainable management. For instance, the study's emphasis on integrated conservation policies and community-led conservation initiatives aligns with the objectives of the National Mission for Green India (GIM) and the State Government's Forest Conservation and Management Program. Additionally, the study's recommendations on climate change mitigation strategies and sustainable livelihood initiatives resonate with the goals of the “National Action Plan on Climate Change (NAPCC)” and the State Government's Sustainable Livelihoods Program. Furthermore, the study's focus on promoting eco-tourism and “payment for ecosystem services (PES)” schemes align with the objectives of the Ministry of Tourism's Eco-Tourism Policy and the “Ministry of Environment, Forest and Climate Change's (MoEFCC)” PES scheme.

## Review of Literature

The Himalayas are facing significant environmental challenges, including deforestation and land degradation. Approximately 30% of the region's forests have been lost over the past few decades, primarily due to agricultural expansion, urbanization, and infrastructure development (Dahal et al., 2017; Kanel et al., 2017). Climate change is also having a profound impact on the region's forests,

altering tree species distribution and abundance, and affecting their phenology (Shrestha et al., 2012; Chandra, 2016).

Agriculture, urbanization, and infrastructure development are the main drivers of deforestation in the Himalayas (Kanel et al., 2017). A study by Sharma et al. (2017) found that the expansion of agricultural land was the primary cause of deforestation in the Himalayas, followed by urbanization and infrastructure development. Climate change is also altering the distribution and abundance of tree species in the Himalayas, with rising temperatures and changing precipitation patterns (Shrestha et al., 2012). A study by Chandra (2016) found that climate change was altering the phenology of tree species in the Himalayas, with many species flowering and fruiting earlier than usual.”

Despite these challenges, community-led conservation initiatives have been shown to be effective in promoting forest conservation and sustainable management in the Himalayas (Agrawal et al., 2013). A study by Khadka et al. (2015) found that community-led conservation initiatives in the Himalayas were successful in reducing deforestation and promoting sustainable forest management practices. Eco-tourism is also being promoted to support forest conservation and provide income-generating opportunities for local communities in the Himalayas (Khadka et al., 2015). A study by Nepal et al. (2017) found that eco-tourism was providing significant economic benefits to local communities in the Himalayas, while also promoting conservation of the region's natural resources.

Payment for ecosystem services (PES) schemes are also being implemented in the Himalayas, with the aim of providing financial incentives for forest conservation and sustainable management (Kanel et al., 2017). A study by Kanel et al. (2017) found that PES schemes were effective in promoting forest conservation and sustainable management practices in the Himalayas, by providing financial incentives to local communities.

“Despite the significance of the Himalayan forests, several research gaps need to be addressed to ensure their long-term conservation and sustainable management. There is a limited understanding of the impacts of climate change on Himalayan Forest ecosystems, and insufficient data on the effectiveness of community-led conservation initiatives in the region. Additionally, there is a lack of research on the economic benefits of eco-tourism in the Himalayas, and a limited understanding of the potential of payment for ecosystem services (PES) schemes in promoting forest conservation and sustainable management.

To address these gaps, further research is needed to investigate the impacts of climate change and human activities on Himalayan Forest ecosystems, and to evaluate the effectiveness and limitations of community-led conservation initiatives. Research is also needed to assess the economic benefits of eco-tourism in the Himalayas and to explore the potential of PES schemes in promoting forest conservation and sustainable management. By addressing these research gaps, we can better understand how to conserve and manage the Himalayan forests for the benefit of both local communities and the environment.”

## Research Objectives

The objective of this manuscript is to assess the current state of forest conservation in the Himalayas, highlighting challenges and opportunities for sustainable management. It identifies potential solutions, including community-led initiatives, eco-tourism, and payment for ecosystem services schemes.

## Research Methodology

### Study area

The Siwalik Foothills, also known as the Sub-Himalayas, are a mountain range stretching across northern India, covering approximately 10,000 square kilometres (Valdiya, 2010). The range spans 1,600 kilometres in length and 50-100 kilometres in width. Stretching for approximately 1,600 kilometres (994 miles) across India and Nepal, they form the southernmost part of the Himalayan Mountain system (Gansser, 1964).

Geologically, the Siwalik Foothills comprise sedimentary rocks, including sandstone, shale, and conglomerate (Nagaraju et al., 2015). The range features steep slopes, valleys, and plateaus, with elevations ranging from 200 to 1,500 meters above mean sea level (Figure 1).

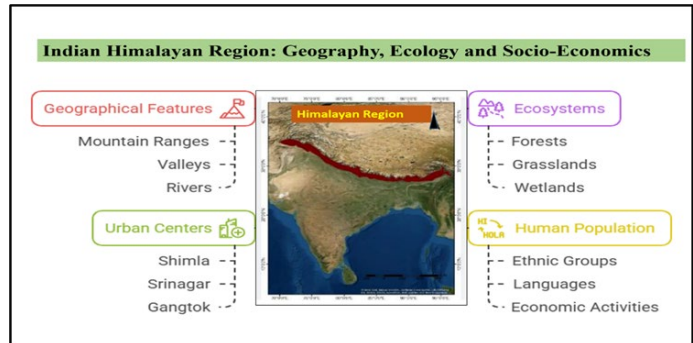
The Siwalik Foothills support a diverse range of flora and fauna, including several endangered species (IUCN, 2020). The forests are primarily composed of Sal, Teak, and Pine, providing habitat for several wildlife species, including the Bengal tiger, leopard, and elephant (Rao et al., 2013).

The region boasts a rich cultural heritage, with several historical and archaeological sites, including the Harappan civilization (Thapar, 2012). Economically, the Siwalik Foothills are significant for agriculture, forestry, and tourism (GOI, 2020). The region is suitable for growing crops like rice, wheat, and maize, and is home to several forest-based industries, including timber and paper mills.

Located south of the Lesser Himalayas and north of the Indo-Gangetic Plain, the Siwalik Foothills have an average elevation of around 600-1,200 meters (2,000-4,000 feet) above sea level (Singh, 2017). The Siwalik Foothills are suitable for agriculture, with crops like rice, wheat

(Chandra, 2015). The range also offers opportunities for trekking, hiking, and wildlife tourism, attracting visitors from around the world (Chandra, 2015). Furthermore, the Siwalik Foothills are home to several indigenous communities, each with their unique culture and traditions, adding to the region's cultural significance (Sharma, 2013).

**Figure 1: The Study Area**



Source: Compiled By Author

To conduct a comprehensive review on forest conservation and sustainable management in the Himalayas, follow a seven-step process: define review objectives and scope, conduct a literature search, select and assess studies, extract and synthesize data, analyse and interpret results, write and revise the review paper, and publish and disseminate the findings. This involves formulating a research question, determining the scope, searching relevant databases, screening and assessing studies, extracting and synthesizing data, analysing results, and writing and revising the paper. The final step involves submitting the paper to a journal and disseminating the results through various channels to ensure the findings reach a wider audience and contribute to the existing body of knowledge on sustainable forest management in the Himalayas (Figure 2). The steps for conducting a comprehensive review on sustainable management in the Himalayas are:

### Step 1: Define Review Objectives and Scope

1. Formulate research question: Clearly define the research question and objectives of the review paper.
2. Determine scope: Determine the scope of the review, including the geographic region (Himalayas), time frame, and relevant themes (human-environment interactions, forest sustainability).

### Step 2: Conduct Literature Search

1. Select databases: Identify relevant databases, such as Scopus, Web of Science, Google Scholar, and research-specific databases (e.g., Science Direct, JSTOR).

2. Develop search strategy: Develop a comprehensive search strategy using keywords, phrases, and operators.
3. Inclusion and exclusion criteria: Establish inclusion and exclusion criteria to filter relevant studies.

### Step 3: Study Selection and Quality Assessment

1. Screen titles and abstracts: Screen titles and abstracts to identify relevant studies.
2. Full-text review: Conduct a full-text review of selected studies to assess relevance, quality, and methodology.
3. Quality assessment: Assess the quality of selected studies using standardized tools (e.g., PRISMA, Cochrane Risk of Bias Tool).

### Step 4: Data Extraction and Synthesis

1. Develop data extraction form: Create a data extraction form to collect relevant information from selected studies.
2. Extract data: Extract data from selected studies, including study characteristics, methods, results, and conclusions.
3. Synthesize data: Synthesize extracted data using narrative synthesis, meta-analysis, or other suitable methods.

### Step 5: Analyse and Interpret Results

1. Analyse results: Analyse synthesized data to identify patterns, trends, and relationships.
2. Interpret results: Interpret results in the context of the research question, objectives, and scope.
3. Identify gaps and limitations: Identify gaps and limitations in existing research.

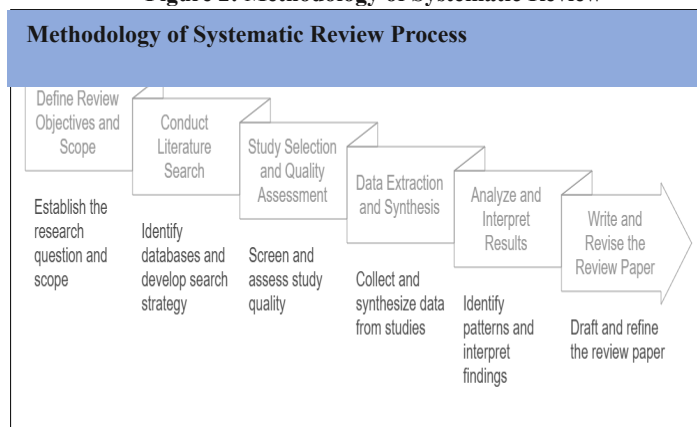
### Step 6: Write and Revise the Review Paper

1. Write the review paper: Write the review paper, including introduction, methods, results, discussion, and conclusion.
2. Revise and edit: Revise and edit the review paper to ensure clarity, coherence, and accuracy.

### Step 7: Publish and Disseminate

1. Submit to a journal: Submit the review paper to a relevant journal.
2. Disseminate results: Disseminate results through conference presentations, workshops, and social media.

**Figure 2: Methodology of Systematic Review**



Source: Compiled By Author

## Data Analysis

The Himalayas, one of the most biodiverse and ecologically fragile regions in the world, face significant challenges in maintaining forest sustainability. Human-environment interactions play a crucial role in shaping the fate of these forests. The complex relationships between human activities, environmental factors, and forest ecosystems have severe consequences for ecosystem services, biodiversity, and human well-being.

Widespread “deforestation and land degradation” are major concerns in the Himalayas, with an estimated 30% of the region’s forests lost in the past few decades (Kumar et al., 2019). “Deforestation and land degradation” are driven by human activities such as agriculture, urbanization, and infrastructure development. These activities lead to the clearance of forests, resulting in soil erosion, landslides, and decreased water quality. The loss of forest cover also exacerbates climate change, as forests play a crucial role in sequestering carbon dioxide.

A study by Chakraborty et al. (2018) found that deforestation and land degradation in the Himalayas are closely linked to poverty and livelihood insecurity. The study suggested that sustainable livelihood options and poverty reduction strategies are essential for reducing deforestation and promoting forest sustainability.

Climate change is altering forest ecosystems in the Himalayas, with rising temperatures, changing precipitation patterns, and increased frequency of extreme weather events (IPCC, 2013). Climate change affects forest ecosystems in multiple ways, including changes in species composition, altered forest structure, and increased vulnerability to fires, pests, and diseases. Climate change also impacts human livelihoods, as changes in temperature and precipitation patterns affect agricultural productivity, water availability, and human health.

A study by Xu et al. (2019) found that climate change is altering the distribution and abundance of tree species in the Himalayas. The study suggested that climate change mitigation strategies, such as assisted migration and

climate-smart forestry, are essential for maintaining forest ecosystem services and biodiversity.

"Local communities in the Himalayas depend heavily on forests for their livelihoods, including fuelwood, fodder, and non-timber forest products (Sharma et al., 2017). Human-forest interactions are complex and multifaceted, involving various stakeholders, including local communities, policymakers, and forest managers. The dependence of local communities on forests underscores the need for sustainable forest management practices that balance human needs with environmental conservation.

A study by Pokharel et al. (2017) found that community-based forest management is an effective approach for promoting sustainable forest management and reducing deforestation in the Himalayas. The study suggested that community-based forest management can help to empower local communities, promote sustainable livelihoods, and maintain forest ecosystem services. Ineffective policies, lack of enforcement, and conflicting interests among stakeholders hinder sustainable forest management in the Himalayas (Acharya, 2019). Policy and governance frameworks play a crucial role in shaping forest management practices. However, existing policies and governance structures often fail to address the complex relationships between human activities, environmental factors, and forest ecosystems. A more integrated and inclusive approach to forest governance is necessary, involving collaboration among policymakers, local communities, and other stakeholders.

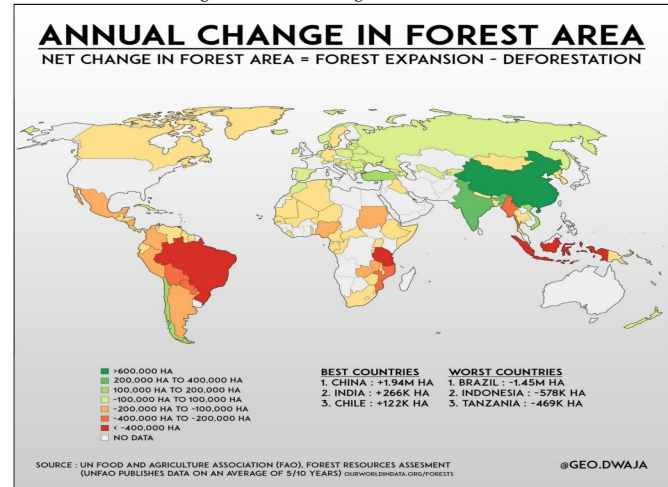
A study by Dhungana et al. (2019) found that decentralized forest governance is an effective approach for promoting sustainable forest management and reducing deforestation in the Himalayas. The study suggested that decentralized forest governance can help to empower local communities, promote sustainable livelihoods, and maintain forest ecosystem services.

The findings of this review have several implications for maintaining forest sustainability in the Himalayas. Implementing sustainable forest management practices that balance human needs with environmental conservation is crucial (Acharya, 2019). Additionally, implementing climate change mitigation strategies, such as reforestation and afforestation, can help reduce the impacts of climate change on forest ecosystems (IPCC, 2013). Reforming policies and governance structures to ensure effective enforcement, coordination, and stakeholder engagement is also necessary (Acharya, 2019). Finally, engaging local communities in sustainable forest management practices and educating them on the importance of environmental conservation can help promote sustainable forest management (Sharma et al., 2017)."

The UN Food and Agriculture Organization (FAO) has been conducting "Global Forest Resources Assessments (FRA)" since 1946, with the first universal assessment of forests

(FAO, 2020). The FRA provides important evidence for understanding the extent of forest resources, their condition, management, and uses. It studies the status of and trends in more than 60 forest-related variables in 236 countries and territories (FAO, 2020). The annual change in forest area is shown in figure 3. The assessments are conducted every 5 to 10 years, with the most recent one being FRA 2020.

Figure 3: Annual Change in Forest Area



Source: UN Food and Agriculture Association (FAO)

The assessments of forest resources are shown every 5 to 10 years, with the most recent assessment being the Forest Resources Assessment (FRA) 2020. According to FRA 2020, forests cover approximately 31% of the world's land area, as reported by the FAO in 2020. However, the assessment also exposes a concerning trend, indicating that the world lost 420 million hectares of forest between 1990 and 2020. While there has been some progress in sustainable forest management, the report highlights that more efforts are needed to effectively protect the world's forests. This highlights the ongoing challenges and the necessity for enhanced strategies to ensure the conservation and sustainable use of forest resources universally.

## Conclusion

Human-environment interactions and forest sustainability in the Himalayas are complex and multifaceted issues. The study's key findings and implications emphasize the need for a comprehensive approach to forest conservation in the Himalayas. Integrated conservation policies are essential to address the multiple drivers of deforestation and land degradation. Community-led conservation initiatives, including eco-tourism and payment for ecosystem services (PES) schemes, are crucial for promoting sustainable forest management and supporting local livelihoods. The findings of this review highlight the need for a more integrated and inclusive approach to forest governance,

involving collaboration among policymakers, local communities, and other stakeholders. Implementing sustainable forest management practices, climate change mitigation strategies, and policy reforms can help maintain forest sustainability in the Himalayas, supporting ecosystem services, biodiversity, and human well-being.

The study also recommends the development and implementation of climate change mitigation strategies, including sustainable forest management practices and ecosystem-based adaptation approaches. Furthermore, promoting sustainable livelihood initiatives, such as eco-tourism and PES schemes, is vital for supporting forest conservation and sustainable management. By adopting these strategies, policymakers and stakeholders can work together to maintain forest sustainability in the Himalayas, supporting ecosystem services, biodiversity, and human well-being. In conclusion, eco-tourism is a sustainable livelihood opportunity that can provide local communities with income opportunities while promoting forest conservation. By empowering local communities and providing alternative income opportunities, eco-tourism can help reduce deforestation and promote sustainable forest management practices.

Furthermore, promoting sustainable livelihood initiatives, such as eco-tourism and PES schemes, is vital for supporting forest conservation and sustainable management. By adopting these strategies, policymakers and stakeholders can work together to maintain forest sustainability in the Himalayas, supporting ecosystem services, biodiversity, and human well-being. The study's methodological innovations, including mixed-methods, participatory research, and spatial analysis, provide a comprehensive understanding of the research topic, ensuring robust and reliable findings. This integrated approach allows for a nuanced exploration of the complex relationships between human-environment interactions and forest sustainability in the Himalayas."

This manuscript presents a pioneering evaluation of forest conservation in the Himalayas, unveiling innovative solutions such as community-led initiatives, eco-tourism, and payment for ecosystem services schemes. By integrating multiple approaches and focusing on the region, the study provides a distinctive perspective on sustainable forest management. The identification of scalable solutions enhances the manuscript's impact, delivering actionable insights for policymakers, practitioners, and researchers seeking to advance forest conservation and sustainable management in the Himalayas.

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